Economics 731 Steven N. Durlauf Fall 2019

"Social Interactions"

Course Requirements

Course requirements: There are two requirements.

First, each student must identify two papers from the reading list and write 5-7 page explications of each. I would like one completed by September 7 and one completed by September 22. The purpose of this requirement is for you to dig into the details of the papers so that you understand them thoroughly enough to teach to other graduate students as well as to integrate into your own original research. You may choose to review papers that are not on the reading list as long as they are germane to the course material, but I will need to approve the choices.

Second, in lieu of a final exam, I will ask you to write a 10 page critical review of a prominent paper which I will choose. In this critical review, I want you to go beyond explication to an assessment of strengths and weaknesses, with proposals on how to address the latter. You will have two weeks for this.

Syllabus

The following syllabus is of course far too long to cover in class. I mark some with * to indicate priority. The list is designed to provide a gateway into the larger literatures as well as to identify papers that are of particular importance to read. Please note that the fact that a paper is listed does not necessarily mean that I regard it is as good piece of research. Some of the articles are in fact listed because their prominence is incommensurate with their quality. That said, you should also be skeptical of any criticisms I make!

Much of the material I cover is synthesized in

Blume, L. W. Brock, S. Durlauf, and Y. Ioannides, (2011), "Identification of Social Interactions," in *Handbook of Social Economics*, J. Benhabib, A. Bisin, and M. Jackson, eds. Amsterdam: North Holland.

and

Durlauf, S., (2004), "Neighborhood Effects," in J. V. Henderson and J.-F. Thisse, eds., *Handbook of Regional and Urban Economics 4*, Amsterdam: North Holland.

1. Theory of Social Interactions

i. Complementarity

Athey, S., (2002), "Monotone Comparative Statics under Uncertainty," *Quarterly Journal of Economics*, 117, 1, 187-223.

Athey, S., (2004), "Single Cross Properties and the Existence of Pure Strategy Equilibria in Games of Incomplete Information," *Econometrica*, 69, 4, 861-889.

Athey, S. and S. Stern, (1998), "An Empirical Framework for Testing Theories About Complementarity in Organizational Design," *NBER Working Paper no.* 6600.

*Cooper, R. and A. John, (1988), "Coordinating Coordination Failures in. Keynesian Models," *Quarterly Journal of Economics*, 103, 3, 441-463.

Milgrom. P. and J. Roberts, (1990), "Rationalizability, Learning and Equilibrium in Games with Strategic Complementarities," *Econometrica*, 58, 6, 1255-1277.

Milgrom, P. and C. Shannon, (1994), "Monotone Comparative Statics," *Econometrica*, 62, 1, 157-180.

*Amir, R., (2004), "Supermodularity and Complementarity in Economics: An Elementary Survey," *Southern Economic Journal* 71: 636-660.

ii. Interacting Systems

Akerlof, G., (1997), "Social Distance and Social Decisions," *Econometrica*, 65, 5, 1005-1027.

Allen, B., (1982), "Some Stochastic Processes Exhibiting Externalities Among Adopters," *International Economic Review*, 23, 3, 595-608.

Becker G., (1974), "A Theory of Social Interactions," *Journal of Political Economy*, 82, 6, 1063–93.

Bisin, A., O. Ozgur, and U. Horst, (2006), "Rational Expectations Equilibria of Economies with Local Interactions," *Journal of Economic Theory*, 127, 1, 74-116.

*Blume, L, (1993), "The Statistical Mechanics of Strategic Interaction," *Games and Economic Behavior*, 5, 3, 387-424.

*Brock, W. and S. Durlauf, (2001), "Discrete Choice with Social Interactions," *Review of Economic Studies*, 68, 2, 235-260.

Brock, W. and S. Durlauf (2002), "A Multinomial Choice Model with Neighborhood Effects," *American Economic Review*, 92, 2, 298-303.

Cabrales, A., A. Calvó-Armengol and Y. Zenou, (2011), "Social Interactions and Spillovers," *Games and Economic Behavior*, 72, 2, 339-360.

Conlisk, J., (1976), "Interactive Markov Chains," *Journal of Mathematical Sociology*, 4, 2, 157-185.

Föllmer, H., (1974), "Random Economies with Many Interacting Agents," *Journal of Mathematical Economics*, 1, 1, 41-62.

Griffeath, D., (1976), "Introduction to Random Fields," in Kemeny, J., J. Snell and A. Knapp, *Denumberable Markov Chains*, New York: Springer-Verlag.

Horst, U. and J. Scheinkman, (2006), "Equilibria in Systems of Social Interactions," *Journal of Economic Theory*, 130, 1, 44-77.

Ioannides, Y., (2006), "Topologies of Social Interactions," *Economic Theory*, 28, 3, 559-584.

McKelvey, R. and T. Palfrey, (1995), "Quantal Response Equilibria for Normal Form Games," *Games and Economic Behavior*, 10, 1, 6-38.

Morris, S., (2000), "Contagion," *Review of Economic Studies*, 67, 1, 57-78.

Ozgur, O., (2011), "Local Interactions," *Handbook of Social Economics*, J. Benhabib, A. Bisin, and M. Jackson, eds., Amsterdam: North Holland.

Spitzer, F., (1971), "Markov Random Fields and Gibbs Ensembles," *American Mathematical Monthly*, 78, 2, 142-154.

iii. Group Formation and Matching

*Becker, G., (1973), "A Theory of Marriage, Part I," *Journal of Political Economy*, 81, 4, 813-846.

*Bénabou, R., (1996), "Equity and Efficiency in Human Capital Investment: The Local Connection," *Review of Economic Studies*, 63, 2, 237-264.

Cotrell, R. and G. Loury, (2004), "Distribution of Ability and Earnings in a Hierarchical Job Assignment Model" *Journal of Political Economy*, 112, 6, 1322-1364.

Dagsvik, J., (2000), "Aggregation in Matching Markets," *International Economic Review*, 41, 1, 27-57.

*Durlauf S., (1996), "A Theory of Persistent Income Inequality," *Journal of Economic Growth*, 1, 1, 75-93.

Durlauf, S. and A. Seshadri, (2003), "Is Assortative Matching Efficient?," *Economic Theory*, 21, 2-3, 475-493.

Fernandez, R. and J. Gali, (1999), "To Each According to...? Markets, Tournaments, and the Matching Problem with Borrowing Constraints," *Review of Economic Studies*, 66, 4, 799-824.

Fernandez, R. and R. Rogerson, (1996), "Income Distribution, Communities, and the Quality of Public Education," *Quarterly Journal of Economics*, 111, 1, 135-164.

Fernandez, R. and R. Rogerson, (1997), "Keeping People Out: Income Distribution, Zoning, and the Quality of Public Education," *International Economic Review*, 38, 1, 23-42.

Frankel, D., (1998), "A Pecuniary Reason for Income Mixing," *Journal of Urban Economics*, 44, 1, 158-169.

Gall, T., P. Legros, and A. Newman, (2015), "College Diversity and Investment Incentives," Working Paper, Boston University.

Granovetter, M. and R. Soong, (1988), "Threshold Models of Segregation: Chinese Restaurants, Residential Segregation, and the Spiral of Silence," *Sociological Methodology*, 18, 69-104.

Ioannides, Y., (2008), "Full Solution of an Endogenous Sorting Model with Contextual and Income Effects," Working Paper, Tufts University.

Ioannides, Y., (2016), "Endogenous Social Networks and Inequality in an Intergenerational Setting," Working Paper, Tufts University.

Kremer, M. and E. Maskin, (1996), "Wage Inequality and Segregation by Skill," *NBER Working Paper no.* 5718.

LeGros, P. and A. Newman (2002), "Monotone Matching in Perfect and Imperfect Worlds," *Review of Economic Studies*, 69, 4, 925-42.

LeGros, P. and A. Newman (2007), "Beauty Is a Beast, Frog Is a Prince: Assortative Matching with Nontransferabilities," *Econometrica*, 75, 4, 1073-1102.

Loury, G., (1977), "A Dynamic Theory of Racial Income Differences," in *Women, Minorities, and Employment Discrimination*, P. Wallace and A. Lamond, eds., Lexington, Massachusetts: Lexington Books.

McCann R., and M. Trokhimtchouk, (2010), "Optimal Partition of a Large Labor Force into Working Pairs," *Economic Theory*, 42, 2, 375-395.

McCann, R. J., Shi, X., Siow, A., & Wolthoff, R. (2015). Becker meets ricardo: Multisector matching with communication and cognitive skills. Journal of Law, Economics, and Organization, ewv002.

Nechyba, T., (1997), "Existence of Equilibrium and Stratification in Local and Hierarchical Tiebout Economies with Property Taxes and Voting," *Economic Theory*. 10, 2, 277-304.

Nesheim, L., (2002), "Equilibrium Sorting of Heterogeneous Consumers Across Locations: Theory and Implications," *Centre for Microdata Methods and Practice Working paper no. CWP08/02.*

*Prat, A., (2002), "Should a Team be Homogeneous?," *European Economic Review*, 46, 7, 1187-1207.

Pycia, M. (2012). Stability and preference alignment in matching and coalition formation. Econometrica, 80(1), 323-362.

*Sattinger, M., (1993), "Assignment Models of the Distribution of Earnings," *Journal of Economic Literature*, 31, 2, 831-880.

Streufert, P., (2000), "The Effect of Underclass Isolation on School Choice," *Journal of Public Economic Theory*, 2, 4, 461-482.

iv. Networks

Bala, and S. Goyal, (2003), "A Noncooperative Model of Network Formation," *Econometrica*, 68, 5, 1181-1229.

Ballester, C., A. Calvó-Armengol, and Yves Zenou, (2006). "Who's Who in Networks. Wanted: The Key Player," *Econometrica*, 74, 5, 1403-1417.

Barthelemy, M., (2011), "Spatial Networks," *Physics Reports*, 499, 1-101.

Currarini, S., P. Pin and M. Jackson, (2011), "An Economic Model of Friendship: Homophily, Minorities, and Segregation," *Econometrica*, 77, 4, 1003-1045.

Galeotti, A. and S. Goyal, (2010), "The Law of the Few," *American Economic Review*, 100, 4, 1468-1492.

Galeotti, A., S. Goyal, M. Jackson, and F. Vega-Fernando, (2010), "Network Games," *Review of Economic Studies*, 77, 1, 218-244.

Golub, B. and M. Jackson, (2012), "How Homophily Affects the Speed of Learning and Best-Response Dynamics," *Quarterly Journal of Economics* 127, 3, 1287-338.

Halme, P. and J. Saramaki, (2012), "Temporal Networks," *Physics Reports* 519, 97-125.

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Newman, M., (2003), "The Structure and Function of Complex Networks," *SIAM Review*, 45, 2, 167-256.

2. Econometrics of Social Interactions

i. Statistics of Interactions-Based Systems

Chatterjee, S., (2007), "Estimation in Spin Glasses: A First Step," *Annals of Statistics*, 35, 5, 1931-1946.

Pickard, D., (1976), "Asymptotic Inference for an Ising Lattice," *Journal of Applied Probability*, 13, 3, 486-497.

Pickard, D., (1977), "Asymptotic Inference for an Ising lattice II," *Annals of Applied Probability*, 9, 3, 476-501.

Pickard, D., (1979), "Asymptotic Inference for an Ising lattice III. Non-Zero Field and Ferromagnetic States," *Journal of Applied Probability*, 16, 1, 12-24.

ii. Econometric Social Interactions Models: Identification and Estimation

Angrist, J., (2014), "The Perils of Peer Effects," *Labour Economics*, 30(C), 98-108.

Aradillas-Lopez, A., (2011), "Nonparametric Probability Bounds for Nash Equilibrium Actions in a Simultaneous Discrete Game," *Quantitative Economics*, 2(2), 135-171.

Aradillas-Lopez, A., (2010), "Semiparametric Estimation of a Simultaneous Game with Incomplete Information," *Journal of Econometrics*, 157, 2, 409-431.

Arcidiacono, P., G. Foster, N. Goodpaster, and J. Kinsler, (2011), "Estimating Spillovers using Panel Data, with an Application to the Classroom," *Quantitative Economics*, 3(3), 421-470.

Baird, S., A. Bohren, C. McIntosh, and B. Ozler, (2012), "Designing Experiments to Measure Spillover and Threshold Effects," *IZA Discussion Paper* no. 6681.

Bajari, P., H. Hong, and S. Ryan, (2009), "Identification and Estimation of a Discrete Game of Complete Information," *Econometrica*, 78, 5, 1529-1568.

Bayer, P. and S. Ross, (2010), "Identifying Individual and Group Effects in the Presence of Sorting: A Neighborhood Effects Application," Working Paper, Duke University.

*Blume, L., W. Brock, S. Durlauf, and R. Jayaraman, (2015), "Linear Social Interactions Models," *Journal of Political Economy*, 123(2), 444-496.

*Brock, W. and S. Durlauf, (2007), "Identification of Binary Choice Models with Social Interactions," *Journal of Econometrics*, 140, 1, 52-75.

Cohen-Cole, E., (2006), "Multiple Groups Identification in the Linear-in-Means Model" *Economics Letters* 92, 2, 157-162.

Conley, T. and G. Topa, (2007), "Estimating Dynamic Local Interactions Models," *Journal of* Econometrics, 140, 1, 282-303.

Davezies L, X. d'Haultfoeuille and D. Foughre, (2009), "Identification of Peer Effects Using Group Size Variation," *Econometrics Journal*, 12, 397-413.

*De Paula, A., (2009), "Inference in a Synchronization Game with Social Interactions," *Journal of Econometrics*, 148, 1, 56-71.

De Paula, A., (2013), "Econometric Analysis of Games with Multiple Equilibria," *Annual Review of Economics*, 5,107-131.

De Paual, A., I. Rasul and P. Souza, (2018), "Recovering Social Networks from Panel Data: Identification, Simulations, and an Application." Cenmap Working Paper CWP17/18.

De Paula, A. and X. Tang, (2012), "Inference of Signs of Interaction Effects in Simultaneous Games with Incomplete Information," *Econometrica*, 80, 1, 143-172.

Glaeser, E., B. Sacerdote, and J. Scheinkman, (2003), "The Social Multiplier," *Journal of the European Economic Association*, 1, 2-3, 345-353.

*Graham, B., (2008), "Identifying Social Interactions through Conditional Variance Restrictions," *Econometrica*, 76, 3, 643-660.

Graham, B. and J. Hahn, (2005), "Identification and Estimation of the Linear in Means Model of Social Interactions," *Economic Letters*, 88, 1-6.

Heckman, J., (1978), "Dummy Endogenous Variables in a Simultaneous Equation System," *Econometrica*, 46, 931-959.

Hirano, K., and J. Hahn, (2010), "Design of Randomized Experiments to Measure Social Interaction Effects," *Economics Letters*, 106, 1, 51-53.

Hsieh, C. and Lee, L.-f. (2016), "A Social Interactions Model with Endogenous Friendship Formation and Selectivity," *Journal of Applied Econometrics*, 21, 2, 301-319.

Kooreman, P. and A. Soetevent, (2007), "A Discrete-Choice Model with Social Interactions: with an Application to High School Teen Behavior," *Journal of Applied Econometrics*, 22, 3, 599-624.

Krauth, B., (2006), "Simulation-Based Estimation of Peer Effects," *Journal of Econometrics* 133, 1, 243-271.

Lee, L.-f., (2007), "Identification and Estimation of Econometric Models with Group Interactions, Contextual Factors and Fixed Effects," *Journal of Econometrics*, 140, 2, 333-374.

Lee, L.-f., X. Liu, and X. Lin, (2010), "Specification and Estimation of Social Interaction Models with Network Structures." *Econometrics Journal*, 13, 2, 145-176.

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*Manski, C., (1993), "Identification of Endogenous Social Effects: The Reflection Problem," *Review of Economic Studies*, 60, 531-542.

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Shalizi, C. and A. Thomas, (2012), "Homophily and Contagion Are Generically Confounded in Observational Social Network Studies," *Sociological Methods & Research*, 40, 2, 211-239.

Tamer, E., (2003), "Incomplete Simultaneous Discrete Response Model with Multiple Equilibria," *Review of Economic Studies*, 70, 147-165.

Tao, J., & Lee, L. F. (2014). "A social interaction model with an Extreme Order Statistic," *The Econometrics Journal*, 17(3), 197-240.

Weinberg B., (2007), "Social Interactions with Endogenous Associations," *NBER Working Paper no.* 13038

iii. Matching/Sorting

Eeckhout, J. and P. Kircher, (2011), "Identifying Sorting-In Theory," *Review of Economic Studies*, 78, 2, 872-906.

Fox, J., (2010), "Estimating Matching Games with Transfers," *Quantitative Economics*, 1, 2, 203-254.

Graham, B., (2011), "Econometric Methods for the Analysis of Assignment Problems in the Presence of Complimentarity and Social Spillovers." In *Handbook of Social Economics*, J. Benhabib, A. Bisin, and M. Jackson, eds., Amsterdam: Elsevier.

*Graham, G., G. Imbens, and G. Ridder, (2014), "Complementarity and Aggregate Implications of Assortative Matching: A Nonparametric Analysis," *Quantitative Economics* 5,1, 29-66.

Lopes de Melo, R. (2015), "Firm Wage Differentials and Labor Market Sorting: Reconciling Theory and Evidence," Working Paper, University of Chicago, *Siow, A. (2009), "Testing Becker's Theory of Positive Assortative Matching," Working Paper, University of Toronto.

Mendez, R., G. van den Berg, and M. Lindeboom, (2010), "An Empirical Assessment of Matching in the Labor Market," *Labour Economics*, 17. 6. 919-929.

iv. Treatment Effect Approaches

Lazatti, N., (2015), "Treatment Response with Social Interactions: Partial Identification via Monotone Comparative Statics." *Quantitative Economics*, 6(1), 49-83.

Manski, C., (2013), "Identification of Treatment Response with Social Interactions," *Econometrics Journal*, 16, S1-S23.

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v. Networks

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Boucher, V., (2015), "Structural Homophily," *International Economic Review*, 56, 1, 235-264.

Boucher, V. and I. <u>Mourifié</u>, (2017), "My Friend Far, Far Away: A Random Field Approach to Exponential Random Graph Models," *Econometrics Journal* 20, 3: S14–S46.

*Bramoullé, Y., H. Djebbari and B. Fortin, (2009), "Identification of Peer Effects through Social Networks," *Journal of Econometrics*, 150, 41-55.

Breza, E., A. Chandrasekhar, T. Mccormick, and M. Pan, 2018, "Using Aggregated Relational Data to Feasibly Identify Network Structure Without Network Data," Mimeo, Stanford University.

Chandrasekhar, A. and M. Jackson, (2018), "A Network Model Based on Subgraphs," Mimeo, Stanford University.

Chandrasekhar, A. and R. Lewis, (2016), "The Econometrics of Sampled Networks," Mimeo, Stanford University.

Christakis, N., J. Fowler, G. Imbens, and K. Kalyanaraman, (2010), "An Empirical Model for Strategic Network Formation," *NBER Working Paper no.* 16039.

De Paula, A., S. Richards-Shubik, and E. Tamer, (2018), Identifying Preferences in Networks with Bounded Degree, *Econometrica*, 86, 1: 263-288.

Frank, O. and D. Strauss, (1986), "Markov Graphs," *Journal of the American Statistical Association*, 81, 832–842.

Goldsmith-Pinkham, P. and G. Imbens, (2013), "Social Networks and the Identification of Peer Effects," *Journal of Business and Economic Statistics*, 3, 3, 253-264.

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Hsieh, C.-S. and L.-F. Lee, (2012), "A Structural Modeling Approach for Network Formation and Social Interactions-with Applications to Students' Friendship Choices and Selectivity on Activities," mimeo, Ohio State University.

Kim, J., (2013), "A Structural Model of Multigraph Formation: Favor Exchange and Social Networks in Villages," mimeo, Cornell University.

Mele, A., (2015), "A Structural Model of Segregation in Social Networks," Working Paper, Johns Hopkins University.

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Snidjers, T., (2001), "The Statistical Evaluation of Social Network Dynamics," *Sociological Methodology*, 31, 361-395.

Song, K., (2014), "Econometric Inference on Large Bayesian Games with Heterogeneous Beliefs," mimeo, University of British Columbia.

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3. Applications

i. Crime

Bayer, P., R. Hjalmarsson, and D. Pozen, (2009), "Building Criminal Capital Behind Bars: Peer Effects in Juvenile Corrections," *Quarterly Journal of Economics* 124, 1, 105-147.

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Kling, J., J. Ludwig, and L. Katz, (2005), "Neighborhood Effects on Crime for Female and Male Youth: Evidence From a Randomized Housing Voucher Experiment," *Quarterly Journal of Economics*, 120, 1, 87-130.

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ii. Education

Angelucci, M., G. de Giorgi, M. Rangel, and I. Rasul, (2010), "Family Networks and School Enrollment: Evidence from a Randomized Social Experiment, *Journal of Public Economics*, 94, 197-221.

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